
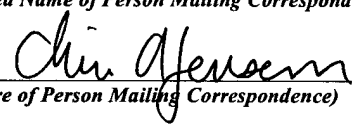


11-03-04

IFW

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 15436.253.86.1.1	
Applicant(s): Stewart, et al.				
Application No. 10/725,871	Filing Date 12/02/2003	Examiner Unknown	Customer No. 022913	Group Art Unit 2877
				
Invention: CALIBRATION OF A MULTI-CHANNEL PTOELECTRONIC MODULE WITH INTEGRATED TEMPERATURE CONTROL				
<p>I hereby certify that the following correspondence:</p> <p>Transmittal Letter (2 pgs, in triplicate); Information Disclosure Statement (3 pgs); Form PTO-1449 Listing all Cited References (3 pgs); Legible Copies of 3 Cited References; postcard; and Certificate of Express Mailing Label No. EV 494 039 851 US</p> <p><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p>November <u>2</u>, 2004 <i>(Date)</i></p> <p>Chris A. Jensen <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p> <i>(Signature of Person Mailing Correspondence)</i></p> <p>EV 494 039 851 US <i>("Express Mail" Mailing Label Number)</i></p>				
<p>Note: Each paper must have its own certificate of mailing.</p>				

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.253.86.1.1

In Re Application Of: Stewart, et al.

Application No.

10/725,871

Filing Date

12/02/2003

Examiner

Unknown

Customer No.

022913

Group Art Unit

2877

Confirmation No.

2753

Title: **CALIBRATION OF A MULTI-CHANNEL OPTOELECTRONIC MODULE WITH INTEGRATED
TEMPERATURE CONTROL**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

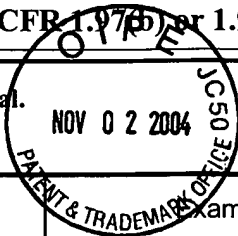
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15436.253.86.1.1

In Re Application: Stewart, et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/725,871	12/02/2003	Unknown	022913	2877	2753

Title: **CALIBRATION OF A MULTI-CHANNEL OPTOELECTRONIC MODULE WITH INTEGRATED TEMPERATURE CONTROL****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**Certificate of Transmission by Facsimile***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____

11/ 2 /04

(Date)

Signature of Person Mailing Correspondence _____

Chris A. Jensen

Typed or Printed Name of Person Mailing Certificate _____

***This certificate may only be used if paying by deposit account.**

Signature

Dated: November 2, 2004

R. BURNS ISRAELSEN**Attorney for Applicant****Registration No. 42,685****Customer No. 022913**

CC:



PATENT APPLICATION
Docket No: 15436.253.86.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
)
	Stewart et al.)
)
Serial No.:	10/725,871) Art Unit
) 2877
Confirmation No.:	2753)
)
Filed:	December 2, 2003)
)
For:	CALIBRATION OF A MULTI-CHANNEL)
	OPTOELECTRONIC MODULE WITH)
	INTEGRATED TEMPERATURE CONTROL)
)
Examiner:	Unknown)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Statement of Relevance of References Listed
Unaccompanied by English Translation
Under 37 C.F.R. § 1.98(a)(3)

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

Please credit any over payment or charge any additional fees to Deposit Account No. 3-3178 of the undersigned.

DATED November 2, 2004.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "R. Burns Israelson". The signature is fluid and cursive, with the first name "R." and last name "Israelson" clearly distinguishable.

R. BURNS ISRAELSEN
Attorney for Applicant
Registration No. 42,685
Customer No. 022913

RBI: caj
CAJ0000000741V001

Applicant: Stewart, et al.

Serial No.: 10/725,871

Att'y Docket No.: 15436.253.86.1.1

Filing Date: December 2, 2003

Group: 2877

For:

CALIBRATION OF A MULTI-CHANNEL
OPTOELECTRONIC MODULE WITH INTEGRATED
TEMPERATURE CONTROL



INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

Related U.S. Patent Applications

<u>Inventor</u>	<u>Application Number</u>	<u>Filing Date</u>
Stewart et al.	10/700,845	November 4, 2003
Stewart et al.	10/700,981	November 4, 2003
Nguyen	10/716,745	November 11, 2003
Stewart et al.	10/783,560	February 20, 2004

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 1	4,359,553	11/16/82	Edwards
___ 2	4,378,451	03/29/83	Edwards
___ 3	4,378,451	12/23/86	Simons, et al.
___ 4	5,019,769	05/28/91	Levinson, et al.
___ 5	5,041,491	08/20/91	Turke, et al.
___ 6	5,204,535	06/18/91	Winston Jr., et al.
___ 7	5,281,516	01/25/94	Stapleton, et al.
___ 8	5,516,563	05/14/96	Schumann, et al.
___ 9	5,604,758	02/18/97	AuYeung, et al.
___ 10	6,055,252	04/25/00	Zhang
___ 11	6,353,623	03/05/02	Munks, et al.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Stewart, et al.

Serial No.: 10/725,871

Att'y Docket No.: 15436.253.86.1.1

Filing Date: December 2, 2003

Group: 2877

For: CALIBRATION OF A MULTI-CHANNEL
OPTOELECTRONIC MODULE WITH INTEGRATED
TEMPERATURE CONTROL

_____ 12 6,400,737 06/04/02 Broutin, et al.

U.S. Patent Application Publications

Examiner Initial*	Publication Number	Publication Date	Name
_____ 13	2003/0152390	08/14/03	Stewart, et al.
_____ 14	2004/0114646	06/17/04	Stewart, et al.

Foreign Patent Documents

Examiner Initial*	Document Number	Publ. Date	Country or Patent Office	International Filing Date
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NONE

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

_____ 15	"4-Channel Temperature Tunable 40 mW CW DFB Laser with Locker CQF474/708 Series." JDS Uniphase Product Bulletin. www.jdsu.com. 3 pgs.
_____ 16	"SFF-8053 Specification for GBIC (Gigabit Interface Converter)." SFF Committee. September 27, 2000. www.schelto.com/t11_2/GBIC/sff-8053.pdf. 82 pgs.
_____ 17	"Small Form-factor Pluggable (SFP) Transceiver Multisource Agreement (MSA)." www.schelto.com/SFP/SFP%20MSA.pdf. September 14, 2000 38 pgs.

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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For: CALIBRATION OF A MULTI-CHANNEL
OPTOELECTRONIC MODULE WITH INTEGRATED
TEMPERATURE CONTROL

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

CAJ0000000740V001

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
